Reset Form

TD AMERITRADE Institutional

529 COLLEGE SAVINGS PLAN CHANGE OF INVESTMENT FORM

Instructions:

- The Account Owner is permitted to change the selected investment Portfolio once per calendar year or upon a change of beneficiary. **NOTE:** Pursuant to IRS Notice 2009-01, a participant may make up to two changes to the investment allocations in an account during calendar year 2009.
- You may not use this form to change the beneficiary.
- Please complete all sections and return to the following address: 4075 Sorrento Valley Blvd., Suite A, San Diego, CA 92121.
- If you have any questions, please speak with your financial advisor or contact your Regional Services Team at **800-431-3500**, option **2**.

1 ACCOUNT OWNER INFORMATION

Name (First, Middle Initial, Last):

Account Number:

Home Street Address (No PO Boxes or Mail Drops):

City:

State:

ZIP Code:

Account Owner's Social Security Number:

Phone Number:

Beneficiary's Name: If you would like to name a new Beneficiary to this account, please complete the Change of Account Owner, Successor, and/or Beneficiary Form.

Beneficiary's Social Security Number

Beneficiary's Date of Birth:

2 NEW INVESTMENT OPTION. CHECK ONLY ONE BOX (A), (B) OR (C).

Your total account balance will be transferred to, and all future contributions will be invested in, the choice(s) that you select below. If you have questions about the Portfolios, please consult the Enrollment Handbook.

☐ **A. AGE-BASED PORTFOLIOS** Select one of the following:

☐ **Aggressive Portfolio** ☐ **Growth Portfolio** ☐ **Balanced Portfolio** ☐ **Conservative Portfolio**

☐ **B. TARGET PORTFOLIOS** Select one of the following:

☐ Fund 100	☐ Fund 80	☐ Fund 60	☐ Fund 40	☐ Fund 20	☐ Conservative
100% Equity Funds	80% Equity Funds 5% Real Estate Funds 15% Fixed-Income Funds	60% Equity Funds 5% Real Estate Funds 26% Fixed-Income Funds 9% Money Market Funds	40% Equity Funds 5% Real Estate Funds 36% Fixed-Income Funds 19% Money Market Funds	20% Equity Funds 5% Real Estate Funds 46% Fixed-Income Funds 29% Money Market Funds	50% Fixed-Income Funds 50% Money Market Funds

☐ **C. INDIVIDUAL FUND PORTFOLIOS** Each Individual Fund Portfolio will invest in a single mutual fund. Since each Portfolio invests in a single fund, the performance of each Portfolio is dependent upon the performance of the underlying fund. As a result, the Individual Fund Portfolios may be more volatile than the Age-Based or Target Portfolios. Select any combination of the following Portfolios. The percentages selected in the various Portfolio(s) must total 100%. Please select the combination in whole percentages.

MONEY MARKET 529 PORTFOLIO:

____ % Vanguard Prime Money Market 529 Portfolio

FIXED-INCOME 529 PORTFOLIOS:

____ % Vanguard Short-Term Bond Index 529 Portfolio
____ % Vanguard Intermediate-Term Bond Index 529 Portfolio
____ % Vanguard Instl Total Bond Market Index 529 Portfolio
____ % PIMCO Total Return 529 Portfolio

REAL ESTATE 529 PORTFOLIO:

____ % Goldman Sachs Real Estate Securities 529 Portfolio

DOMESTIC EQUITY 529 PORTFOLIOS

____ % Vanguard Value Index 529 Portfolio
____ % Vanguard Institutional Index 529 Portfolio
____ % Vanguard Growth Index 529 Portfolio

DOMESTIC EQUITY 529 PORTFOLIOS (cont.):

____ % Fidelity Advisor Equity Growth 529 Portfolio
____ % Vanguard Total Stock Market Index 529 Portfolio
____ % Vanguard FTSE Social Index 529 Portfolio
____ % American Century Equity Income 529 Portfolio
____ % Vanguard Mid-Cap Index 529 Portfolio
____ % Vanguard Extended Market Index 529 Portfolio
____ % Vanguard Small-Cap Value Index 529 Portfolio
____ % Vanguard Small-Cap Index 529 Portfolio
____ % Vanguard Small-Cap Growth Index 529 Portfolio

INTERNATIONAL EQUITY 529 PORTFOLIOS:

____ % Fidelity Advisor Diversified International 529 Portfolio
____ % Vanguard Total International Stock Index 529 Portfolio

100% TOTAL

Each investment choice is described in more detail in the TD AMERITRADE 529 College Savings Plan Enrollment Handbook.
Investment Products: **Not FDIC-Insured/No Bank Guarantee/May Lose Value**.
Investors should carefully consider the investment objectives, risks, and charges and expenses of any fund before investing. The Enrollment Handbook contains this and other important information and may be obtained by calling 800-431-3500, option 2. Please read carefully before investing.

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AUTHORIZATION

I hereby request the change of investment choice as indicated. I understand that my total account balance will be transferred to, and all future contributions will be invested into, the portfolio(s) selected above. The TD AMERITRADE 529 College Savings Plan is entitled to rely on this request and is released from any and all claims I may have or hereafter assert with respect to the investment change. I certify the Social Security Numbers given in Section 1 are correct and that all information contained herein is true and correct. Please allow approximately five business days for this change to be completed.

Signature of Account Owner or Authorized Agent: ______________________________ Date: ____________

Printed Name: ______________________________

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